

Assessment of Biometal Profile in Children with Autism Spectrum Disorder, with Attention Deficit Hyperactivity Disorder, or with Other Psychiatric Diagnoses: A Comparative Outpatient Study

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Abstract

Background: In recent years, the impact of various micronutrients on the symptoms of ADHD and ASD has been studied. Our study was aimed at evaluating the association between the level of serum magnesium, zinc and ferritin in children diagnosed with ADHD and/or ASD.

Methods: This case-control pilot study consisted of 32 children who met DSM-V criteria for ADHD, 15 children who met DSM-V criteria for ASD and 12 age-matched control children from Electronic Medical Record database. Serum magnesium, zinc and ferritin values were compared with ANOVA.

Results: Blood ferritin level was found to be significantly lower in affected groups ($F=5.9$, $p=0.0056$). A trend towards decreased values of plasma zinc level was also found in affected children ($F=2.25$, $p=0.12$); whereas no suggestion of any difference in blood magnesium levels between three groups was significant. Non-parametric analyses were also run and the p value for ferritin 0.08, showed weaker evidence of significance.

Conclusions: Results of this study indicated that low level of blood ferritin may be a risk factor for development of ASD and ADHD. Future investigations with larger controlled trials are needed and correlational studies would also be helpful.

Keywords: ADHD; Autism; Magnesium; Zinc; Ferritin

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Introduction

Attention Deficit Hyperactivity Disorder (ADHD) is one of the most common neuropsychiatric disorders that affects 8-12% of children worldwide [1,2]. ADHD is characterized by consistent and age-inappropriate levels of inattention, impulsivity and/or hyperactivity [3]. Although the pathophysiology of ADHD is complex and not clearly understood [4,5], several lines of evidence suggest a relevance of the dopaminergic and noradrenergic systems in the cause of ADHD [6,7].

Many studies using different methodologies have indicated that dopamine is a key element of ADHD pathophysiology. Some authors suggested that the lack of efficient dopaminergic control

in the cortical and limbic striatal areas might result in selective attention and behavioural inhibitions [2,8,9].

Recent investigations on the neurobiology and treatment of ADHD have suggested that possible deficiencies of nutrients such as iron, zinc, magnesium and polyunsaturated fatty acids could be involved in the etiology of the disorder [10-12].

Iron plays multiple roles in the brain. It is implicated in proper myelination and it serves as a co-factor for several enzymes involved in monoamine neurotransmitter function including tyrosine hydroxylase which is critical in dopamine synthesis [13]. Iron is also related with monoamine oxidase, which is important

for the degradation of dopamine [14]. All of these results suggest that iron metabolism may have important role in ADHD pathophysiology. Ferritin is a marker of peripheral iron supplies and can be used to estimate body-iron stores [15]; however, it is not known if it reflects brain iron levels, but some studies have shown lower levels of ferritin in children with ADHD, other studies have not found this correlation; therefore, current results are mixed [16-19].

Dietary deficiencies of zinc and magnesium are also suspected to be associated with mild to significant changes in neuronal function, which can lead to poor health and adverse effects on behavior and learning [20]. Several researchers reported a link between zinc levels and ADHD symptoms [21]. Although the exact mechanism of how zinc may contribute to symptoms of ADHD is not known, there is evidence that zinc assists in both the production and regulation of melatonin, which is an important factor in the pathophysiology of ADHD due to its modulation of dopamine. Zinc also binds to and regulates the dopamine transporter, which is a site of action of psychostimulants used to treat ADHD [22].

Magnesium acts as an ionic membrane regulator and modulator of ion transfer through membrane channels. In animal studies, Magnesium has been shown to interact with the serotonergic, noradrenergic, and dopaminergic systems [23]. Magnesium also activates tyrosine hydroxylase, the rate-limiting step in dopamine synthesis and it binds serotonin and dopamine to their receptors [24].

Autism Spectrum Disorder (ASD), on the other hand, is a multifactorial disorder characterized by deficit in social communication and interactions as well as restrictive and repetitive patterns of behavior [3]. The pathophysiological etiologies which precipitate autism symptoms remain elusive and controversial in many cases, but both genetic and environmental factors (and their interactions) have been implicated [25].

Previous studies have raised concerns that intake of iron, B vitamins, vitamin D, magnesium, calcium, and zinc may be deficient in up to one-third of children with ASD, and that dietary insufficiency may be more common in children on a restrictive diet [26-29]. Studies show that children with autism are at higher risk for iron deficiency and this condition may increase the severity of psychomotor and behavioural problems [30].

Another important element of cell signalling is zinc, which is involved in glutamatergic transmission [31]. There are also some observations from patients with fragile X syndrome suggesting that interactions between zinc and glutamate may exist [32]. It is conceivable that zinc after being absorbed by the postsynaptic neuron can act as an important trophic factor, i.e. as a nutrient that can later be involved in permanent learning processes [33].

Some studies show that zinc levels in the plasma, hair, and nails of patients with ASD may be abnormal [34,35]. Low intracellular zinc has been associated with DNA damage, which might be due to a combination of oxidative stress, impairment of anti-oxidant defenses and impairment of DNA repair. Zinc deficiency occurs not only as a result of abnormal nutritional intake, but also due

to various disease states, including malabsorption and immune dysregulation syndromes [36]. Changes in the intestinal flora and function are common in autistic patients [37,38]; it is therefore conceivable that malabsorption due to pathological changes in the intestinal mucosa may play an important role as one of the causes of zinc deficiency in autism. Magnesium plays an essential role in brain development and functional well-being, through activation of copper-zinc superoxide dismutase (CuZn-SOD) and nitric oxide release from cells [39]. Chronic low blood levels of Magnesium might lead to growth retardation and behavioural changes [40]. Magnesium in conjunction with vitamin B6, has been proposed for many years as a nutritional factor in the treatment of autism [41,42].

The aim of the present study was to test whether blood levels of zinc, magnesium and ferritin are altered in children with ADHD and/or ASD compared to healthy control subjects. We hypothesize that blood levels of ferritin and zinc will be abnormal in both ADHD and/or ASD children.

Methods

Our retrospective chart review study included 32 children with ADHD (aged 5-18 years, mean:10.53, SD:2.86, 84.37% boys, 15.63% girls) and 15 children with ASD (aged 5-18 years, mean:13.67, SD: 3.46, 80% boys, 20% girls) were collected through Electronic Medical Record (EMR) database from University of California, Davis (UCD), medical centre from 2015 to pre-sent (Figure 1). The diagnoses were made after a series of structured interviews were conducted by a psychologist and one Child

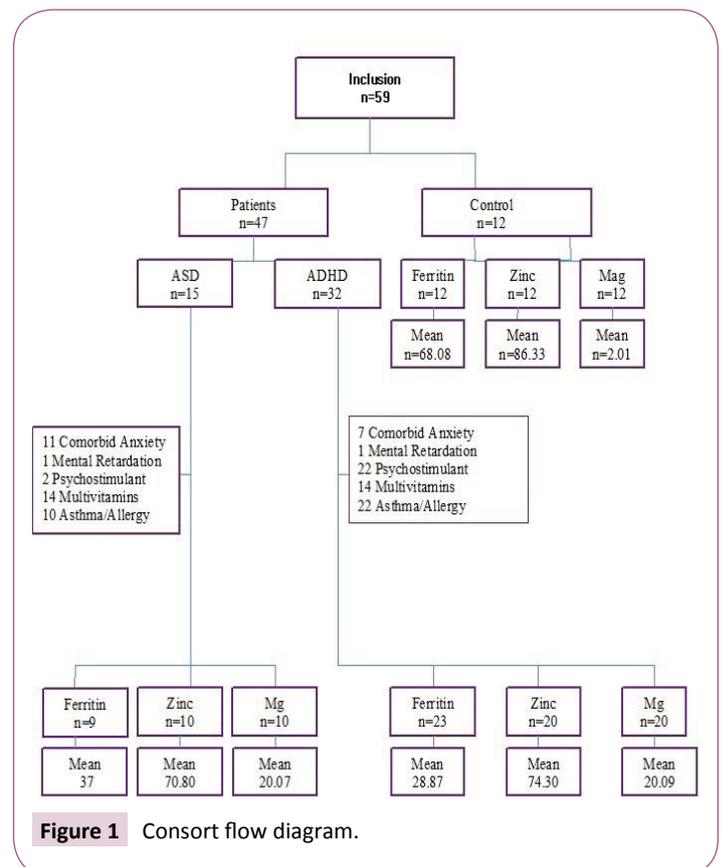


Figure 1 Consort flow diagram.

and Adolescent psychiatrist at UCD, Child and Adolescent clinic based on the criteria for ADHD and ASD defined in the Diagnostic and Statistical Manual of Mental Disorders [3], 5th Edition. All procedures were approved by the Institutional Review Board of UCD (No. 999488-1).

Seven cases of ADHD children and eleven cases of ASD children had comorbid anxiety disorder, one case of ADHD children and one case of ASD children had mental retardation. Twenty-five ADHD children and two ASD children were treated with psycho stimulant medications, fourteen children of both categories had nutritional supplements within the last six months and twenty-two children had asthma/allergy history. Twenty-one of the ADHD children met the criteria for combined, eight for inattentive, two for hyperactive-impulsive and one for ADHD-NOS subtype.

The typically-developing (TD) controls included 12 ages, gender and socioeconomic matched control children (mean: 13.50, SD: 2.35) recruited from UCD Psychiatry Department. The TD children had no abnormal histories of motor, language, or social developmental disorders, as determined according to the reports of the parents and teachers.

Ferritin, zinc and magnesium data were collected from each patient review chart via the EMR system. The manufacturer-defined deficiency levels for ferritin, zinc and magnesium were lower than 22 ng/ml, 55mc/dl and 1.5 mg/dl for both male and females respectively. Values are given as mean ± SD. A one-way analysis of variance (ANOVA) is used to determine whether there are any statistically significant differences between the means of zinc, magnesium and ferritin among ASD and ADHD groups. However, since the variability is not homogeneous across groups, non-parametric tests such as Tukey-Kramer method and PROC LOGISTIC in SAS Version 9.4 were used for ferritin analysis.

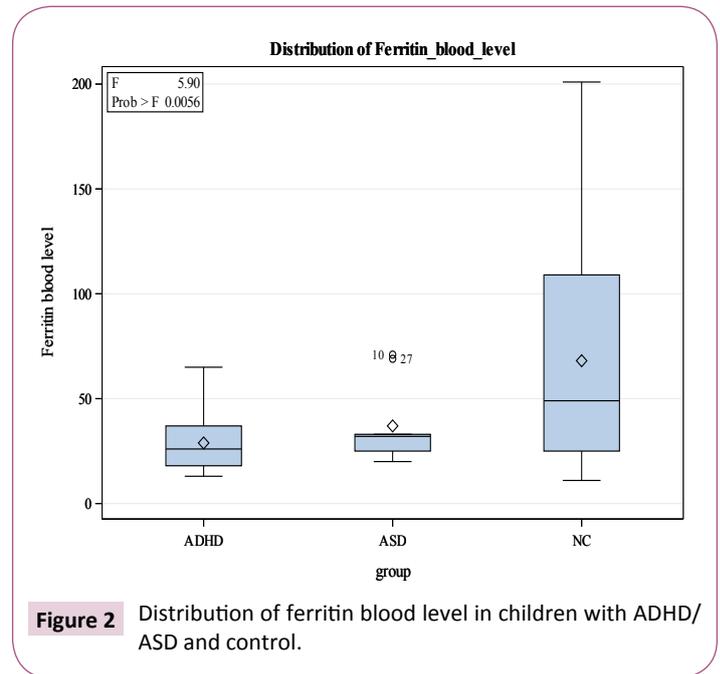
Results

Blood ferritin level was 28.87 ± 12.27 mg/dl in ADHD group (71.8%), 37 ± 19.25 mg/dl in ASD group (60%) and 68.08 ± 57.65 mg/dl in controls. Ferritin was significantly lower in both affected groups (F=5.9, p=0.0056) with weaker association for ASD vs control (Table 1 and Figure 2).

Blood magnesium level was 2.09 ± 0.11 mg/dl in ADHD group, 2.07 ± 0.24 mg/dl in ASD group and in control it was 2.01 ± 0.36 mg/dl, with no significant difference between both groups (F=0.46, p=0.6361; Table 1).

Table 1 Different trace elements levels in children with ADHD/ ASD and in the control group.

Group	N	Variable	Label	N	Mean	Standard deviation	Minimum	Maximum
ADHD	32	Mg blood level	Mg blood level	20	2.09	0.11	1.80	2.20
		Zinc blood level	Zinc blood level	20	74.30	11.96	51.00	90.00
		Ferritin blood level	Ferritin blood level	23	28.87	12.27	13.00	65.00
ASD	15	Mg blood level	Mg blood level	10	2.07	0.24	1.90	2.70
		Zinc blood level	Zinc blood level	10	70.80	12.39	51.00	88.00
		Ferritin blood level	Ferritin blood level	9	37.00	19.25	20.00	71.00
NC	12	Mg blood level	Mg blood level	12	2.01	0.36	1.60	2.50
		Zinc blood level	Zinc blood level	12	86.33	29.46	58.00	144.00
		Ferritin blood level	Ferritin blood level	12	68.08	57.65	11.00	201.00



A trend towards decreased values of plasma zinc level was also found in affected children (74.30 ± 11.96 µg/dl in ADHD group, 70.80 ± 12.39 µg/dl in ASD group and 29.46 ± 58 µg/dl in control; (Table 1 and Figure 3). The overall group difference for Tukey kramer method was weaker than Standard GLM method; however, it was still statistically significant for ferritin compare with zinc and magnesium.

Discussion

In the current study, it has been demonstrated that 71.8% of children with ADHD have low blood ferritin level. These findings are in parallel with previous studies by Ozgur, [2] who reported that lower ferritin level was associated with higher hyperactivity scores in parental ratings of children with ADHD. As previously reported by Konofal et al. [43] significantly lower serum ferritin levels have been observed in children with ADHD than in controls. Indeed, 84% of children had serum ferritin levels of less than 30 ng/mL, compared to 18% of controls (P<0.001). In another study, Oner et al. [2] found a significant correlation between serum ferritin levels and Conners Parent Rating Scale (CPRS) hyperactivity score in 52 children with ADHD (p=0.02). Oner et al. [8] recently reported a significant inverse correlation between serum ferritin levels and CPRS hyperactivity score; however, they

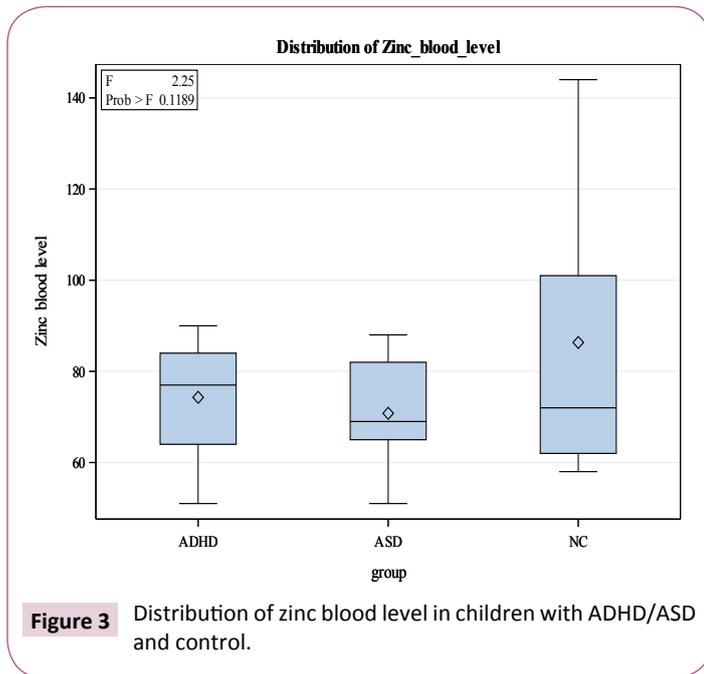


Figure 3 Distribution of zinc blood level in children with ADHD/ASD and control.

could not confirm a significant correlation with other CPRS sub scale scores or with any score at the Conners Teacher Rating Scale (CTRS).

On the other hand, several studies failed to find empirical support for a possible peripheral iron deficiency in ADHD. Chen et al. [44] published a study assessing dietary patterns and serum trace minerals in a sample from Taiwan, and found that serum iron was significantly higher in the ADHD group ($19.7 \pm 6.4 \mu\text{mol/l}$) vs. comparisons ($16.1 \pm 6.4 \mu\text{mol/l}$; $p < 0.05$). Subsequently, in a non-controlled study, Millichap, et al. [15] reported no significant difference between serum ferritin level measured in 68 children with ADHD in relation to national norms. In a sample of 41 children with ADHD and 21 comparisons, Menegassi, et al. [7] found no significant intergroup differences as well. A study by Kiddie and colleges [45] also failed to find significant differences between serum ferritin levels of 44 children with ADHD in relation to national population norms.

Although in our study the number of children with ASD was relatively low, our findings showed a decreased mean level of ferritin among these patients (ASD, mean ferritin level: 37, SD: 19.25 vs. Control, mean ferritin level: 68.08, SD: 57.65; $F=5.9$, $P=0.0056$). This finding supports previous studies from South Wales, Turkey, and Canada, that reported higher rates of iron deficiency/low serum ferritin (7% to 52%) in children with ASD than in the general population [46]. In another study, Hergüner et al. [47] found anemia in 1% to 15% of children with ASDs. Study in the UK examining iron deficiency anemia in children with autism and Asperger syndrome found 52% of children with autism to be iron-deficient compared with 13.6% of children with Asperger syndrome [48]. ASD has been found to produce picky eating, resistance to new foods, preference for limited food groups, resistance to sitting at the table, and abnormal, aggressive feeding behaviors [49]. This supports the reported high prevalence of iron deficiency and malnutrition in this population

subset. Identifying iron deficiency when present is important, because iron deficiency, even without anemia, has adverse consequences on developmental and behavioural function in infants and children [50].

In our study, a trend towards decreased values of plasma zinc level was found in both ASD and ADHD children and the decrease value were more significant in ADHD than ASD group. Several researchers suggest that zinc supplementation might be effective in decreasing ADHD symptoms. Uçkardeş et al. [51] showed that the mean Conner's Rating Scale for Parents scores on attention deficit, hyperactivity, oppositional behavior and conduct disorder decreased significantly in the study and placebo groups after supplementation ($p < 0.01$).

Among individuals with ASD, the incidence of zinc deficiency has been reported to be significantly abnormal compared to age matched healthy control subjects [34,52]. Lakshmi and Geetha [53] found that the level of zinc in autistic children could be correlated with the severity of their symptoms. The children in the low functioning autism group showed lower levels of zinc in both hair and nail samples when compared to middle and high functioning autism (Mean concentration of zinc in the nail samples of 50 control: 193.98 ± 23.27 , 15 Low Functioning Autism: 150.83 ± 18.09 $p < 0.01$, 15 Middle Functioning Autism: 192.02 ± 23.04 and 15 High Functioning Autism: 187.44 ± 22.47 $p < 0.01$. mean concentration of zinc in the hair samples of 50 control: 171.68 ± 20.60 , 15 Low Functioning Autism: 130.46 ± 15.65 $p < 0.01$, 15 Middle Functioning Autism: 172.81 ± 20.73 , 15 High Functioning Autism: 171.92 ± 20.63). The occurrence of zinc deficiencies in ASD is particularly pronounced in the very young age, where a rate of almost 50% was reported in the age group of 0-3 years [54,55].

Zinc has been found to modulate several types of receptors including glutamatergic, GABAergic, dopaminergic, serotonergic and nicotinic receptors [56] in different parts of the brain including striatum. The striatum is involved in the modulation of fine motor skills, goal-directed learning, habit formation, and in motivation and reward processes [57]. Most input to the striatum is glutamatergic. Importantly, the striatum also receives dopaminergic input from the substantia nigra and ventral tegmental area [58,59]. Striatal dopamine innervation plays a role in striatal plasticity [60]. Alterations in striatal dopamine levels lead to disorders that can have both motor and cognitive dysfunction such as ASD [61] and ADHD [62].

It is of special interest for the striatum that zinc binding sites have been found on dopamine receptors [63], suggesting that zinc may have a modulatory role on the dopaminergic system. As noted above, glutamate is the main neurotransmitter input to the striatum; in particular, striatal input from cortex uses glutamate as its main neurotransmitter [59]. Synaptic zinc binding level has strong ties to the glutamatergic system and can modulate all three kinds of glutamate receptors [64,56]. Since synaptic zinc binding level is capable of modulating both groups of receptors, it is likely that it is doing so in the striatum and that alterations of synaptic zinc levels may affect functioning of both neurotransmitter systems [64].

Further, zinc is necessary for vitamin B6 to be metabolized to its active form, pyridoxal phosphate, which in turn plays a role in conversion of tryptophan to serotonin [35,65,66]. Serotonin (5-Hydroxytryptophan, 5-HTP) signalling is not only important in the brain, but also in the GI tract [67]. 5-HTP is released from gut enterochromaffin cells and might contribute to 5-HTP signalling in the brain. However, the gut and the brain are not the only sites of action for 5-HTP. Its receptors are also present in the immune system where 5-HTP signalling may mediate both innate and adaptive responses [68].

Research strongly suggests that zinc might play a role in modulating GABAergic inhibition and seizure susceptibility [69]. It has been suggested that low zinc level may modulate GABA synthesis, ultimately causing a lowering of transmitter concentration in the synaptic cleft [36]. GABA is synthesized by the enzyme glutamate decarboxylase using pyridoxal phosphate as a cofactor [70]. Glutamate decarboxylase plays a very important role in maintaining excitatory-inhibitory balance of the central nervous system [71].

In this present study, there was not a statistical difference of serum magnesium level between the control and both ADHD and ASD groups. In a sample of 76 children in France, compared to healthy controls, those with ADHD had lower RBC magnesium levels but serum magnesium level was normal in the control and ADHD group [45]. Mousain-Bosc et al. [72] found no changes in serum magnesium level between the control and ADHD group according to a study done in Poland, children with ADHD had lower levels of magnesium compared to healthy controls. Percentage of magnesium deficiency was dependent on method of measurement: 33.6% were deficient on serum magnesium, 77.6% on hair, and 58.6% on red blood cell magnesium level [73]. Conversely, a group of college students diagnosed with ADHD in the United States, when compared to healthy controls, had higher levels of serum magnesium ($789.35 \pm 127.22 \mu\text{M}$ vs. $630.67 \pm 87.28 \mu\text{M}$, $p=0.002$) but when RBC magnesium levels were compared, they were no differences between groups [74]. Determination of magnesium status is complicated by the fact that its concentration in extracellular space is four times less than intracellular space. In fact, only 1% of magnesium is extracellular [75]. Furthermore, plasma/serum levels of magnesium are tightly regulated; one-third of magnesium in bone is freely exchanged with the plasma; therefore, even if intake is inadequate, normal serum levels may be maintained by bone stores. Some researchers believe that blood plasma/serum magnesium do not precisely reflect intracellular level of magnesium and this may be better reflected in RBC-magnesium levels [24], but it is unknown whether serum/plasma magnesium or RBC-magnesium reflects intracerebral magnesium levels. The level of magnesium in plasma is lower than cerebrospinal gradient in which an active transport process maintains this gradient. Phosphorus Nuclear Magnetic Resonance (NMR) Spectroscopy is suggested for assessment of magnesium in the brain [24,75].

Some studies, in which the aim was to assess plasma and erythrocyte concentrations of magnesium in ASD and control subjects, did not find significant differences in intracellular magnesium between groups but found significant decrease in plasma magnesium level in patients with ASD [42]. Mousain-

Bosc et al. [76] reported that thirty-three children with clinical symptoms of ASD exhibit significantly lower red blood cell magnesium values, and that the combination therapy with magnesium/vitamin B6 for 6 months improved ASD symptoms in 23/33 children ($p<0.0001$) with concomitant increases in intra-erythrocyte magnesium values.

Strengths and Limitations

To our knowledge this is the first study to investigate blood levels of ferritin, zinc and magnesium in children with ADHD and/or ASD. Indeed, the association of both iron and zinc metabolisms and ADHD/ASD symptoms in a single sample has not been investigated before; however, our study has limitations. First, and foremost, the sample size was relatively small. Therefore, the present results should be confirmed in a larger-scale prospective cohort study.

Second, we did not exclude patients who received treatment with psychostimulants in our study. In a study by D'Amato [77], it has been pointed out that psycho stimulants may affect appetite and, consequently, alter serum ferritin levels. Although in the study by Millichap [15] the authors did not find any significant association between serum ferritin levels and response to psychostimulant treatment. In the largest controlled study contrasting serum ferritin levels in psychostimulant-naive children with ADHD ($n=101$) and matched comparisons ($n=93$), Donfrancesco et al. [78] did not find a significant difference in serum ferritin levels. Moreover, relationships of serum ferritin to ADHD types, IQ and ADHD severity were not significant as well. Serum ferritin is an acute phase reactant and elevated in the face of inflammation. Inflammation would artificially increase the ferritin levels and decrease suspicion of iron deficiency. The use of both serum ferritin and transferrin saturation needs to be included because of the lack of sensitivity or specificity for each test alone.

We didn't exclude children who had received supplementation. Fourteen children of both categories had nutritional supplements within the last six months. Also, it is not clear if the nutritional supplements include iron and zinc. Gender difference, ADHD subtype and presence of psychiatric comorbidity was not excluded either. We don't know if zinc or ferritin supplement can improve ADHD total score or has any effect on inattentiveness/hyperactivity score separately. In a study by Oner P. et al. [8] serum ferritin level was not significantly associated with gender, ADHD subtype, or presence of comorbid disorders. ADHD subtypes may have impact on blood magnesium level. In an Egyptian sample, compared to healthy controls, serum magnesium levels were lower in children with hyperactive and combined type ADHD ($2.2 \pm 0.9 \text{ meq/L}$ vs. $1.7 \pm 0.8 \text{ meq/L}$, $p=0.02$) and there were no differences in serum magnesium level in the subgroup of children with ADHD-inattentive type. Meanwhile, stimulants may impact magnesium plasma level and in some studies magnesium, was not administered concurrently with stimulants [76,79]. Since kidney and liver functions are associated with magnesium level, healthy kidney and liver functions need to be included as well. Liver and renal functions were normal in our control and both ADHD and ASD group.

Conclusion

Ferritin level showed significant decrease in our sample of 5-18 years old children with ASD and/or ADHD which would favour examining the level of this element in those groups of children as early as possible; however, extended studies are warranted with randomized controlled trials to prove the role of ferritin as an interventional factor. The results of this pilot trial should be considered with caution for zinc and magnesium but provide a rationale for larger and multisite trials assessing the effectiveness of these elements for children with ADHD and/or ASD.

Clinical Significance

Recent investigations on neurobiology and treatment of Attention

Deficit Hyperactivity Disorder (ADHD) and Autism Spectrum Disorder (ASD) have suggested that possible deficiencies of nutrients such as iron, zinc and magnesium could be involved in their etiology. In our study, significantly low serum ferritin level (a marker of iron store) and a trend to decreased serum zinc level have been observed in children affected with ADHD and ASD. The present study raises the question of whether those children should have their serum ferritin and zinc levels checked. A careful dietary history might suggest that the child's intake of iron is deficient and justify the necessary laboratory work to check for tissue deficiency. In documented cases of iron deficiency, clinical common sense may suggest a careful consideration to first replenish iron stores and then re-evaluating before instituting other treatments.

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