

# Depressive State and Auditory Brainstem Response a Tentative Future Method for Diagnosis and Pharmacological Control of Depression

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## Abstract

Twelve women (23 to 55 years of age, middle-class, depressed, before pharmacological treatment) from a mid-Swedish town were recruited to take part in this study. The first aim was to assess an objective electrophysiological measure for depression. Secondly, a measure to follow the anti-depressive effect of Citalopram was searched for. Complex auditory stimulation was performed in accordance with a patented method, SD-BERA®, to produce Auditory Brain stem Response (ABR) curves. The total curves were correlated with 3500 Hz sinus/triangle waves and compared with curves similarly correlated from 41 healthy females from earlier studies. The results from the computations from several sorts of complex sounds as stimuli differentiated depressive patients from healthy subjects. The differences were called "traits". Two traits were chosen, one strongly identifying depression and one better following the pharmacological outcome over time.

**Keywords:** ABR; Depression; Biological marker; Therapy control; Diagnosis

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## Introduction

This study was undertaken to find electrophysiological effects of the influence of depression on the brain stem. Following depressive development and recovery will have a great value in clinical settings and for pharmacological (therapeutic) control.

Depression has a 25-40% life time prevalence, around 10 percent point prevalence [1]. It may be hereditary as part of MDS (Manic Depressive Syndrome), reactive to physical and psychological tension, secondary to somatic disorders, neurotic by characterological under-esteem of the self, or it may be stress induced - a reaction to overstrained activity or responsibility [2,3]. When a depressive state has developed to a certain level of illness, all types of depression show the same fundamental symptomatology as well as body-changes. Retardation of physiological and psychological processes develop. Mimic and motoric inhibition emerges, as do delusions of self-depreciation and guilt feelings with suicidal ideation and apathy. On the somatic side hormonal and physiological symptoms consist of e.g. anorexia, sleep and circadian rhythm disturbances [4]. Due to the seriousness and suicide danger of the illness it is imperative to

assess a correct diagnosis and initiate an appropriate treatment. Biological markers for depression are rare. Leuchter et al. used QEEG to construct an Antidepressant Treatment Response index that foresaw an Escitalopram treatment reaction within 2-6 days [5]. Lithgow et al. showed an influence of depression on the brain stem by extracting data from EVestG i.e. electrical derivation of voltages from vestibular nucleus [6]. Similarly, Sköld et al. demonstrated higher ABR-waves III and VII plus thalamo-cortical aberrances related to affective disorder [7]. In view of results from other psychiatric disorders on the brain stem [Schizophrenia, ADHD (Attention Deficit Hyperactivity Disorder) and ASD (Autism Spectrum Disorder)] it would be supposed that depression might show ABR aberrances as well [8-13].

By comparisons between groups of healthy subjects and defined patient groups, researchers have validated ABR markers and made calculations of specificity and sensitivity for diagnostic groups. Highly significant and reciprocally exclusive differences may be defined as biological markers. Markers constitute a ground for operational categorization of an individual into a specific diagnostic group. There is a great need of reducing subjective influences and incorporating biological markers in diagnostic and

therapeutic processes, especially in psychiatry [14].

The impetus to investigate ABR and depression also comes from the fact that Serotonins have an influence on the auditory sorting processing by direct connections of neurons from the raphae nuclei to diverse nuclei of the auditory pathway [15]. In preliminary ABR studies regarding depression, we could not find any significant group differences for depressive/healthy subjects by comparisons of amplitudes and latencies of the ABR waves I to VII. Some new algorithm for revealing further components of the ABR had to be tested. During long times of experimenting with ABRs, high frequency components embedded in the ABRs had been observed. They request a certain build up time to be stable and therefore the measurement must be preceded by an adapting period of stimulation. For this study a frequency of 3500 HZ was judged to be useful for comparisons as seen as represented in patients with depression.

### Aims

This study is a search for a biological measure for the assessment of aspects of depression. The final goal is to get a measure that can be used clinically for diagnose and therapy control and is directed towards the effects of an anti-depressant substance as well. ABR measures will be used as dependent variables together with a clinically widely used rating scale (Montgomery Åsberg Depressive Rating scale, MADRS) [16]. The investigation was performed in two steps. First, one trait was sought for to demonstrate the depressive state as such. Secondly, a trait to differentiate individual differences, development and effect of a SSRI (Specific Serotonin Reuptake Inhibitor) was looked for.

## Methods

### Subjects and design

Twelve depressive women (23 to 55 years of age. Mean: 38 years, SD: 12 Years) from the outward of Karlstad psychiatric hospital in Sweden were recruited for the study. They were compared with a group of 41 age matched healthy females (HC) from a data base earlier brought together from several Swedish psychiatric outwards. The group was created by engaging consecutive

patients searching for treatment of depression. Those with obvious clinical signs of depression with no other psychiatric diagnosis were included. Patients above 55 years and those with other simultaneous psychiatric diagnoses were excluded. During the recruiting time too few males could be listed for gender comparisons. Attitudes of men toward searching psychiatric help is very negative compared to women in this region.

Informed consent was signed, and ethical approval achieved, Diary Nr 2012-500, The Ethical Committee of Karlstad University Hospital, Sweden.

The depressive symptoms of the subjects were recorded by the application of Montgomery Åsberg Depression Rating scale. There were more rating scales used such as the Hamilton scale for depression but they have not been reported here in order to avoid excessive information. Standard clinical diagnostic procedure by chief physicians were performed to assess the diagnosis of clinical deep depression state [16]. Anti-depressive medication was prescribed with a dose of 10 raising to 20-40 mg of Citalopram. One patient got Mirtazapine in addition and one got it as exclusive medication (**Table 1**).

Measurements of auditory brain stem responses (ABR) were conducted on the first day, then after the first and the fourth week. At the same time points, new evaluations were made of the clinical status and the depression scales were applied again. Please observe that patient no 12 didn't take part in all evaluations.

### Stimulus and apparatus

Four different kinds of complex sound stimuli were used; standard broadband square-shaped click pulse (probe), high-pass filtered variant of the same, example of forward masking and one of backward masking (sounds patented). They are schematically displayed in **Figure 1a and 1b**.

The click pulse has a duration time of 0.000136 s and a rise and fall time of 0.000023 s. The individual clicks of the stimulus train have a sound and inter stimulus interval (ISI) from onset to onset of 0.192 s. Backward and forward masking stimuli have time relations according to **Figure 1**. The stimuli were presented to the subjects

**Table 1** Displays the medication given each depressive subject during the 4-week long observation. The pertinent MADRS scores are to the left.

heme Patient nr	MADRS scores	Week 0	Week 2	Week 4
1	25	Citalopram 10 mg 3 days, then 20 mg	Citalopram 20 mg	Citalopram 30 mg in the past 9 days
2	32	Citalopram 20 mg	Citalopram 30 mg	Citalopram 30 mg
3	39	Citalopram 10 mg 3 days, then 20 mg	Citalopram 30 mg	Citalopram 30 mg plus Mirtazapine 30 mg in the past 7 days
4	33	Citalopram 10 mg	Citalopram 20 mg	Citalopram 20 mg
5	31	Citalopram 10 mg 3 days, then 20 mg	Citalopram 20 mg	Citalopram 20 mg
6	33	Citalopram 10 mg 3 days, then 20 mg	Citalopram 20 mg	Citalopram 30 mg in the past 14 days
7	33	Citalopram 20 mg	Citalopram 20 mg	Citalopram 40 mg
8	29	Citalopram 20 mg	Citalopram 20 mg	Citalopram 30 mg in the past 21 days
9	40	Citalopram 30 mg	Citalopram 20 mg	Citalopram 40 mg
10	34	Citalopram 10 mg 3 days, then 20 mg	Citalopram 30 mg	Citalopram 40 mg in the past 14 mg
11	31	Citalopram 30 mg	Citalopram 30 mg	Mirtazapine 45 mg in the past 14 days
12	23	Citalopram 20 mg	Citalopram 40 mg	40 mg

with a sound pressure level (SPL) of 80 dB. TTL trigger pulses coordinated the sweeps with the auditory stimuli. The stimuli were repeated until a total of 1024 accepted evoked potentials for each ear had been collected. The stimuli were presented through SensoDetect Brainstem Evoked Response Audiometry® (SensoDetect, Lund, Sweden). The responses to the 1024 stimulus presentations of each sound were averaged. Aberrant activity, such as extremely high amplitudes due to extraordinary movements, was rejected. Sound levels were calibrated using a Bruel and Kjaer 2203 sound level meter and Type 4152 artificial ear (Bruel and Kjaer S&V Measurement, Naerum, Denmark). All stimuli were constructed using the MATLAB Signal Processing Toolbox (The MathWorks, Inc., Natick, MA, USA). Presentations were made both monaurally and binaurally with the stimuli in phase over headphones.

### Testing procedure

All tests were performed in a quiet darkened room. Participants were comfortably seated in an armchair in a resting position. Surface electrodes were attached to the skin over the mastoid bones behind the left and right ear, with a ground electrode and a reference electrode placed on the vertex and forehead, respectively. Voltage was recorded between L/R mastoids and vertex. Before the test session, the procedure was fully explained to the test subject and the click sounds were presented beforehand to acquaint him/her with the stimuli. Absolute impedances and interelectrode impedance were measured before and after the experiments to verify that electrode contact

was maintained (below 5000  $\Omega$ ). The subjects were instructed to relax with their eyes closed and were permitted to fall asleep. The test required no active participation other than being subjected to sound stimulation. The subjects were tested one at a time and the duration of the testing procedure was 20 minutes.

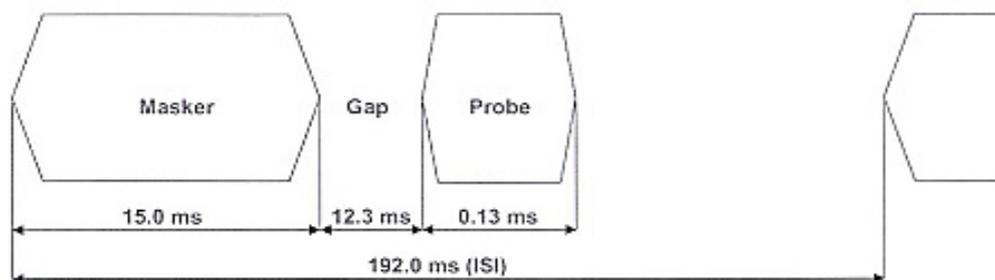
### Analysis

The analysis started with the study of correlations between different sinus / triangle waves and ABRs. Waves of 3500 Hz were chosen as they had given rise to sufficient magnitude of differences between healthy individuals and depressive subjects.

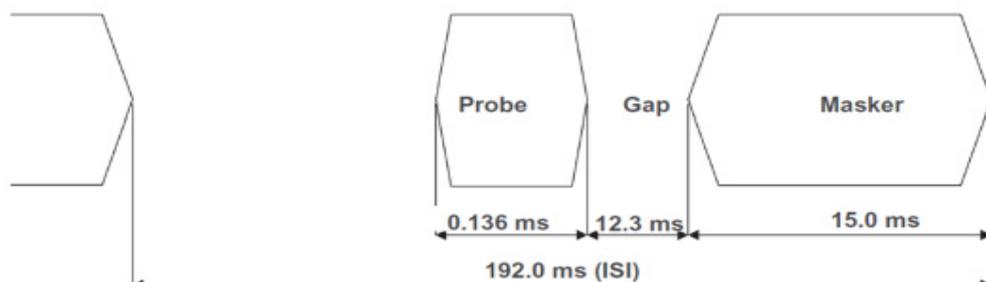
The ABRs were marked with 256 data points during the time-span of the total 10 msec. Correlations with the selected sinus wave of 3500 Hz were computed for all subjects. 25,6 (10/256) data points are positioned in each msec. In the same time span there are 3,5 cycles of the 3500 Hz tone. One cycle then has a duration of  $1/3,5=0,29$  msec. This means that 25,6 data points occur during one cycle (Hz) of 0,29 msec. The quotient  $25,6/0,29$  is 7,4, meaning that 7 ABRs beginning from these 7 points were checked for all subjects to obtain best phase match with the 3500 Hz frequency. The best match is in the following designated  $r_{max}$ .

Trait 1 was the result of the following calculations:

The BM sound was used as stimulus. The ABR of each patient and ear was consecutively correlated with 20 sinus waves and 3 triangle waves of different frequencies and  $r_{max}$ s were extracted as described above. The resultant  $2 \times 23 r_{max}$  values from right and



**Figure 1a** Displays the stimulus for “forward masking”. The masker is pink noise and the probe is the click sound mentioned in the text.



**Figure 1b** Displays the stimulus “backward masking”. The masker is pink noise and the probe is the click sound mentioned in the text.

left ears were then correlated. This correlation is the Trait 1 value. Correlation values were extracted from each patient and merged over patients to represent the depressive group (median).

Trait 2 was achieved by means of the following operations:

All four complex sounds were used giving rise to 8 ABR-curves, one for each ear and individual. Each ABR-curve was correlated with a hard-coded sinus wave with fixed frequency (3500 Hz), and the starting point was varied to find  $r_{max}$  (see above). In this fashion 8  $r_{max}$  values for each patient were produced. The median of these 8 values represents Trait 2.

Comparisons between the groups were then made. Each computation regarded the total ABR from 0-10 msec of the ABRs. When comparing the results, non-parametric statistical tests were applied due to the numbers not being normally distributed.

Mann Whitney Test was used for independent samples and Wilcoxon Pair Test for groups of same subjects measured at different times.

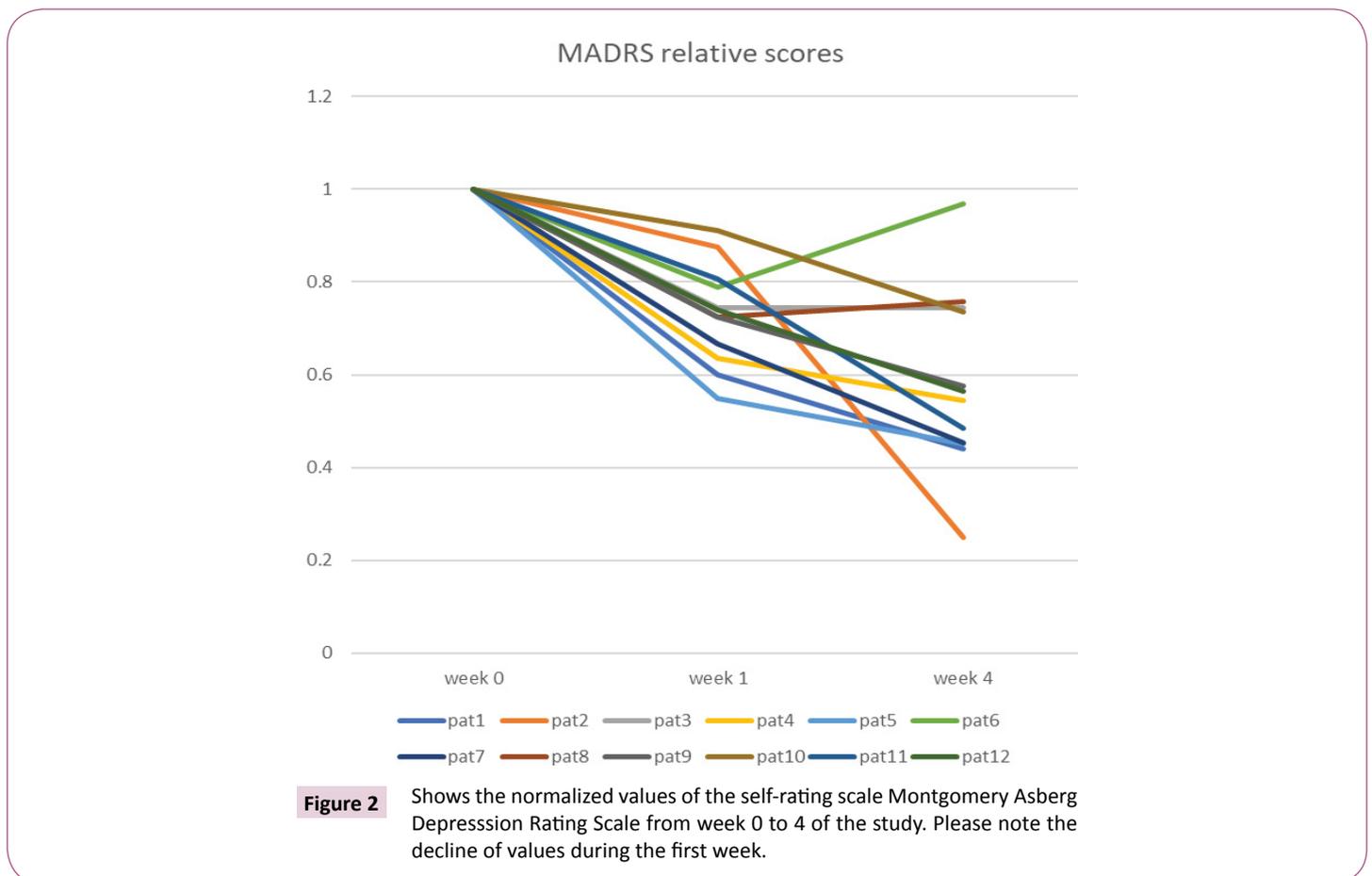
## Results

Comparisons were made from the individuals of the depressive group and healthy subjects. All the curves had been scrutinized for best technical quality and all dubious ones were discarded and remade. The group of patients recovered significantly within 4 weeks. After 1 week there was a significant reduction in scores of MADRS ( $p$ =below 0,02) and Trait 2. The reduction remained significant to week four (from week 0 to 4) except for Trait 1. Between week 1 to week 4 there was no further significant reduction in any of the measures (**Table 2 and Figure 2**).

**Table 2** Shows the level of group differences between depressed patients and healthy controls. In Column 2-4 the changes until 4 weeks can be followed.

ABR-traits for Depression	Mann-Whitney test. Depressed patients/H.C. No treatment N=12	Wilcoxon test p-value. Depressed patients after 1 week of treatment with Citalopram Week 0/week 1 N=11	Wilcoxon test p-value. Depressed patients treated with Citalopram daily. Week 0/week 4 N=11	Wilcoxon test p-value. Depressed patients treated with Citalopram Week1/week4 N=11
TR1	<0.0001 ***	0,1016 n.s.	0,0537 n.s.	0.8984 n.s.
TR2	<0.0002 ***	0.0049 **	0.0020 **	0.7002 n.s.
MADRS		0.0020 **	0.0033 *	0,1029 n. s.

**Note:** Treatment results expressed as scores of MADRS and magnitude of trait 1 and 2 values.



## Discussion

The depression confirmed by MADRS and clinical procedure was significantly consistent with objective ABR data. Trait 1 fulfilled the task to significantly separate the healthy group from the depressive one. The congruence between the change of Trait 2 and MADRS from week 0 to week 1 is important. In the future this decline could be of help to check for pharmacological success. It is also to be noted that it fulfills the primary aim of the study, namely, to reveal significant correlates between ABR data and depression.

From **Table 3** comes forth that for Trait 1 medians of the  $r_{max}$ s are higher than those for Trait 2. This is because Trait 1 measures similarities between the sides of the ears while Trait 2 reflects the content of the frequency 3500 Hz. Trait 1 was used only to separate depressive versus healthy groups but also as a surveillance control of the proceedings of the measurements. The correlation of the depressives is at the level of 0,6 and of healthy subjects only on 0,2. Normally there is a preference for right ear hearing resulting in right-left differences of the ABR supposedly contributing to the ABR difference [17]. Depression decreases mental activity and it may diminish sorting differences generally. Right left differences play a role for lateralized activity involved in directional hearing but also for elementary sorting for further complex processing at higher levels (e.g. in dichotic listening).

Trait 2 shows that the depressives have higher amount of 3500 Hz content than the group of healthy individuals. Trait 2 reflects the effects of the stimulating sounds on the ABR and the result indicates that, similarly, there is less involvement of 3500 Hz activity in healthy individuals. This frequency can be reproduced by the brainstem to depict a stimulus tone of this height. Proportionally, however, frequency analysis of high tones is not a primary matter in the present context by the auditory passway. Therefore, it is more plausible that the high frequency content reflects activities necessary for complex processing (synchronization, cross-correlation, feed-back etc.) A loss of precision in sound processing among depressive individuals might then let the 3500 Hz activity stand out clearer in them. Speculatively, it could be supposed that raised contents of high

frequencies in auditory processing could be kind of redundant noise influence.

Trait 2 included all four sounds combined in the analysis to get better stability and differentiation compared to studying only one kind of stimulus. A slight overlap between healthy and depressive groups for Trait 1 but none within the Trait 2 of the SD-span  $\pm 1$  is seen in **Table 3** col 4.

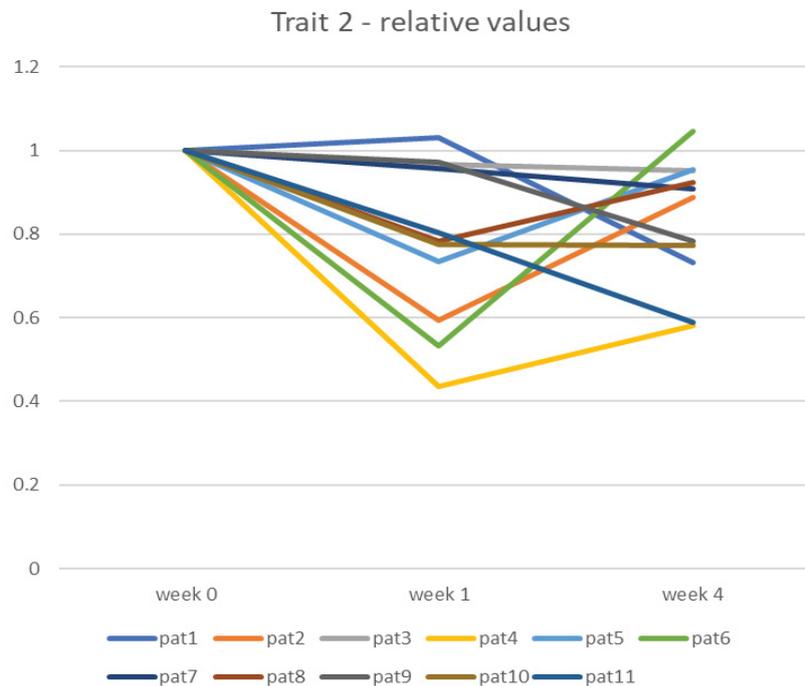
There is a need for still better differentiating power to make the method fit for clinical use. More research will be done on pin pointing levels of disturbances in the brain stem. That will request studying the variation of the traits with time. It will certainly give valuable information on the function of the brain stem but this is not within the scope of this study. Validation on larger samples need to be made as well as specificity studies regarding other psychiatric disorders. Such studies are eased by the following circumstances. Sensitivity and specificity are pretty good (**Table 3** col 5,6) which facilitates coming clinical research work. A study - with the same method as here - showed significant differences between ADHD and healthy individuals [12]. The direction of the values was the same as in the present study (higher content of 3500 Hz in patients than healthy controls). Several of the other "traits" extracted in the present study (not shown), however, show an opposite direction of values between the groups. ADHD and depression (and healthy controls) can then be separated but other diagnostic groups have to be checked for validity as well. It is, of course, essential that there is as little overlapping with other disorders as possible to get best discriminative strength.

The statistical high power making small test groups applicable, is useful for cheaper and faster development of this method (**Table 3** col.7). It may be further enhanced by changing the stimuli to incite reactions in specific serotonin/adrenalin regulating functions in the auditory pathway; and to go deeper in analysis - both phenomenologically and technically [15].

Finally, the Kappa values between MADRS and Trait 2 (**Table 3** col. 8) mean a good to excellent congruence between the two. It may be stated that the present results are fully comparable to the MADRS rating technique. Their superior strength lies in the acquirement of the ABR which is impossible to subjectively influence [18] (**Figure 3**).

**Table 3** Some descriptive data from the study.

Trait	Group	Median	Mean $\pm$ SD	Typical	Specificity/ Sensitivity	Power 90% for $p=0,01$	Kappa values between MADRS and Traits
		$r_{max}$ Depression/	$r_{max}$ Depression/	depressive response/ none	(%)	Sample size;	
		$r_{max}$ Healthy	$r_{max}$ Healthy	Cutoff set by median of all subjects	N		
TR1	Depression	0,626	0,601 $\pm$ 0,155	10/2	83/83	10/group	0,421 w.0-1 0,298 w.1-4
	Healthy	0,230	0,328 $\pm$ 0,164	12/29			
TR2	Depression	0,149	0,148 $\pm$ 0,0173	11/1	78/92	12/group	0.645 w.0-4 (82%)
	Healthy	0,111	0,114 $\pm$ 0,0281	9/32			



**Figure 3** Shows the normalized values of ABR trait No 2 in 11 patients (1 patient not present second time). Please note the same objective decline during the first week as seen compared to the subjectively experienced MADRs result.

## Conclusion

An electrophysiological marker for depression has been identified by this study. It separated depressive individuals from healthy ones with high statistical significance. By combining ABR results from several complex stimuli a second marker was constructed that made possible the detection of effects of treatment with Citalopram after only one week. The study is a starting point for further development which is commented upon in the discussion. The final goal is to achieve a method for diagnose and treatment control. An objective contribution for these ends in psychiatry would be of great value.

## Acknowledgement

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work in acquiring patients, conducting clinical surveillance, and performing the ABR measurements. We also thank M.Sc. Engineering, Joakim Larsson, for analytical work and stimulating discussions. For supporting equipment and technical support we thank CEO Johan Olsson of SensoDetect®.

## Conflict of Interest

Jens Holmberg was earlier employed by SensoDetect®.

Johan Källstrand works as a consultant for the company.

Sören Nielzén is assistant professor at the psychiatric department of the Lund University. He holds shares of but is not employed by SensoDetect®.

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